



Interpret,
communicate,
and remediate
your enterprise
risk in real time

ComplyTrack™ Risk Assessment Management

As part of the powerful and flexible ComplyTrack platform, Risk Assessment Management provides the software, tools, and unique expert content you need to assess your regulatory compliance stance and uncover areas of risk to manage the remediation process across the enterprise.

- **Know your regulatory stance** even as the volume of regulations continues to grow at an exponential rate. ComplyTrack Risk Assessment Management gives you clear visibility into the risk landscape of your organization and real-time insight into your vulnerabilities with real-time dashboards and powerful, built-in IBM Cognos reporting.
- **Easily pinpoint and prioritize items requiring correction** to drive mitigation of highest-risk areas across your enterprise while utilizing your resources most effectively.
- **Risk managers and executives can identify, manage, and mitigate risk in real time** with the Software-as-a-Service solution that enables any employee to respond to assessments from anywhere, at any time, and on any Web-enabled or mobile device.
- **Manage assessments across long-term or broad initiatives** utilizing the ability to group assessments and focus assessments by risk domain, entity type, category, and physical location.

We offer a wide array of ComplyTrack™ Professional Services to help you derive maximum value from your tools, your data, and your existing team. Call 800.808.6800 or visit complytrack.com for more information.



Build focused assessments by selecting questions from Wolters Kluwer attorney-curated content and your own content, and then filtering by risk domain, category, entity type, or geography.

Question	Category	Risk Domains
Does the emergency preparedness plan address patient population, including, but not limited to, the type of services the RHC/FQHC has the ability to provide in an emergency situation, including delegations of authority and continuity of operations?	Department Specific	
Does the emergency preparedness plan include a process for cooperation and coordination with local, tribal, regional, State, and Federal emergency officials' efforts to maintain an integrated response during a disaster situation, including documentation of the RHC/FQHC's efforts to coordinate with local, tribal, regional, State, and Federal emergency officials and, when applicable, of its participation in collaborative and joint planning efforts?	Policies and Procedures	• 42 CFR 491.12(a)(4)
Has the RHC/FQHC developed and implemented emergency preparedness policies and procedures based on the emergency plan, risk assessment, and the communication plan?	Policies and Procedures	• 42 CFR 491.12(b)
Are the RHC/FQHC's emergency preparedness policies and procedures reviewed and updated at least annually?	Monitoring	• 42 CFR 491.12(b)

Support your complete culture of compliance with the powerful ComplyTrack platform

- Powerful IBM Cognos reporting available on all applications and modules.
- Risk assessment assignments appear on the unified ComplyTrack dashboard, integrating compliance workflows.
- A new integrated ComplyTrack platform means easy navigation between applications.
- SaaS solution with Healthcare-Level Security is SOC2 compliant and enables you to define your own password security requirements, set cross-departmental security at the enterprise level, control user access to on-screen data based on permissions, and know HIPAA security is addressed throughout the solution.

To learn more about ComplyTrack™ Risk Assessment Management, contact your WK Account Manager or go to complytrack.com.