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NASAA Reports

Founded in 1919, the North American Securities Administrators Association is a voluntary association with a membership consisting of 67 states, provincial and territorial securities administrators from the 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin

Islands, Canada, and Mexico. The *NASAA Reports* covers NASAA's policies and positions in addition to the latest developments on investor protection. It provides a wealth of useful information on NASAA statements of policy, model rules, guidelines, comment letters, investor alerts, resolutions, and more.

Securities Transfer Guide

This practical resource provides guidance on the registration requirements and tax implications of stock transfers, including matters of state law, federal regulation, and taxation in both these and foreign jurisdictions. Using an easy-to-follow Q&A format, each state division explains local law concerning transferring securities and other intangible property in estates plus describes the authority of guardians, executors, personal representatives, and trustees. Each state's estate and inheritance tax waiver requirements are covered, along with a summary of the state's related laws. A handy directory of over 900 transfer agents plus checklists and charts for items like stock transfer taxes round out this indispensable resource.

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